

Securities Litigation – Q1 2011

Moderator



Jim Blinn, Principal, Advisen Ltd.



Securities Litigation Insight

<http://corner.advisen.com>

Quarterly report on securities litigation

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Securities lawsuits (Advisen MSCAd large loss database)

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Today's Panelists



Carol Zacharias, Senior Vice President, ACE



Kevin LaCroix, Partner, OakBridge Insurance Services, LLC



Carolyn Polikoff, Senior Vice President, Woodruff Sawyer & Company



Dan Bailey, Chair D&O Liabilities Practice Group, Bailey Cavalieri LLC



Dave Bradford, Executive Vice President, Advisen Ltd.



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Key Developments

New suits filed at a record annualized rate.

Breach of fiduciary duties suits remain elevated.

Securities class actions lower than past years, but up from 2010.

Securities fraud suits expand on renewed regulatory actions.

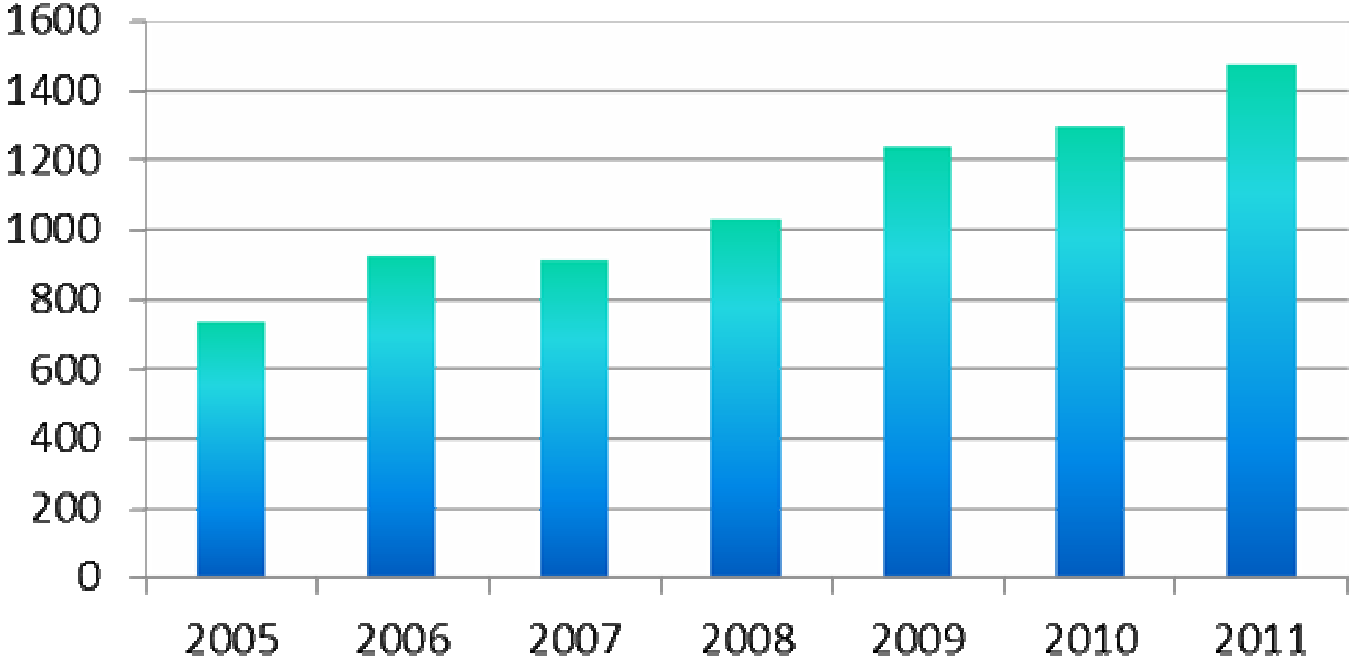
Financial firm suits remain stubbornly high.

Suits against non-U.S. companies on the rise.

Dodd-Frank Act – governance and whistleblower issues.

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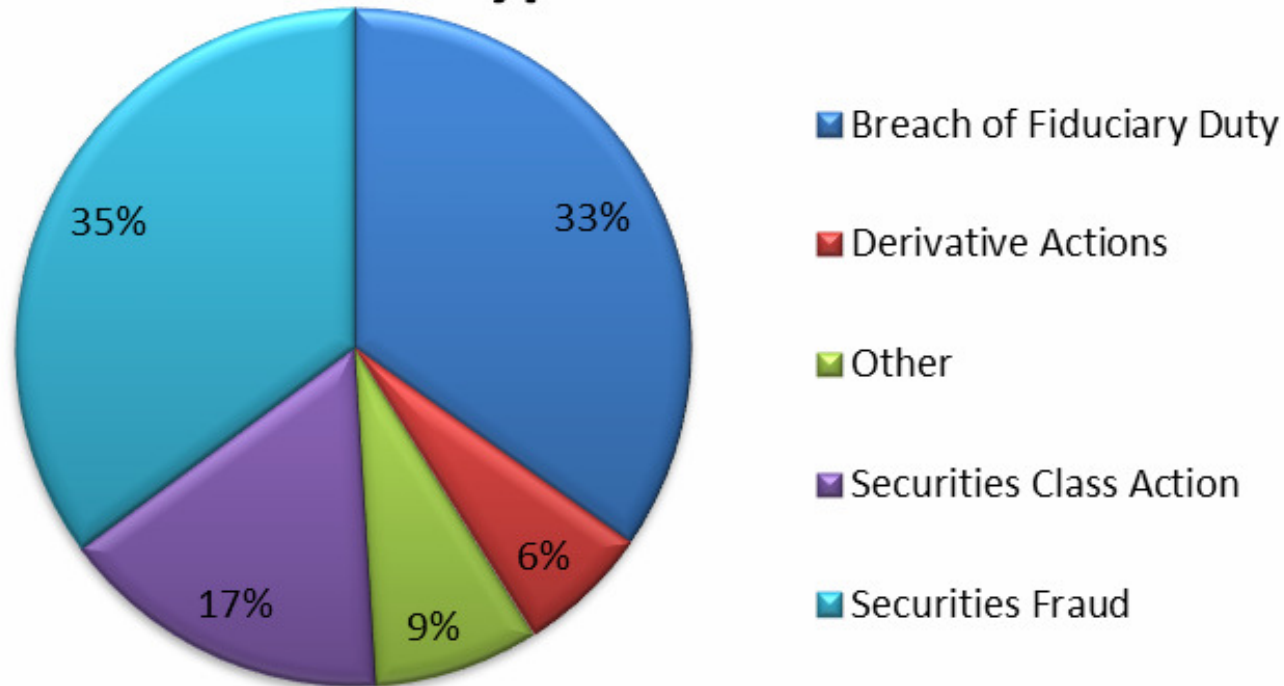
Securities Suits Filed



2011 is Q1 annualized

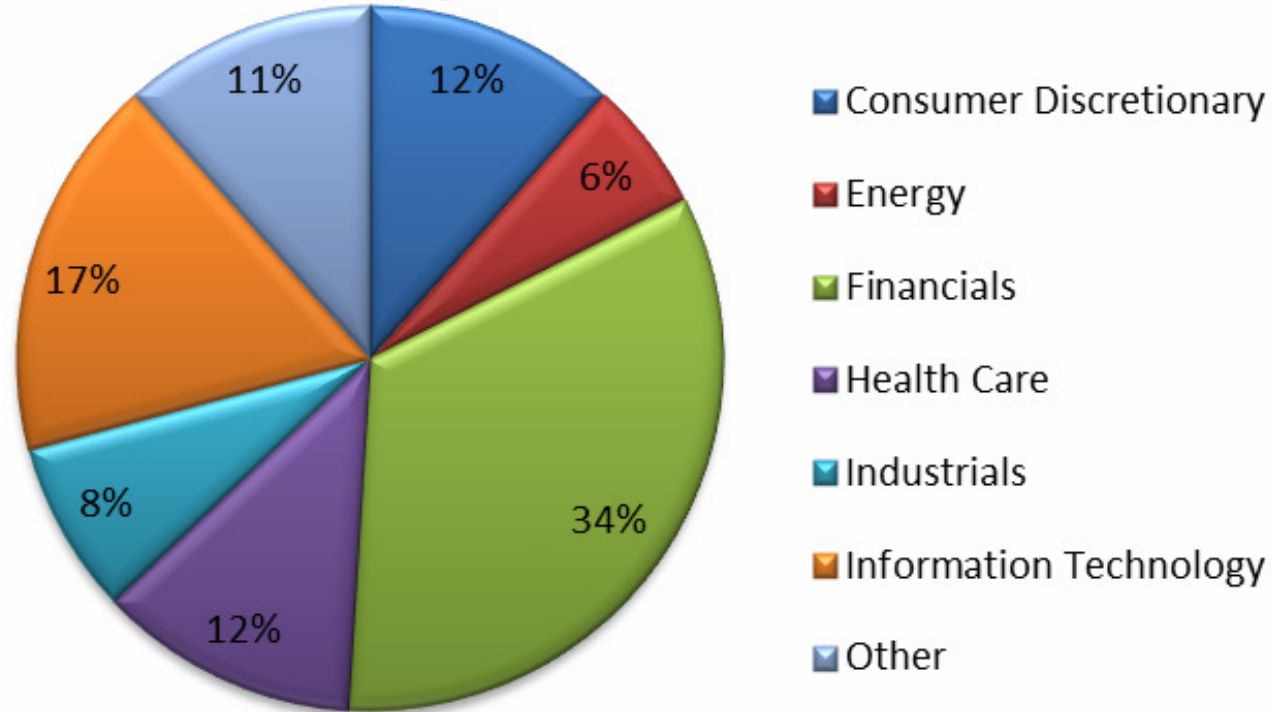
Case Breakdown – Q1 2011

Suit Type - Q1 2011

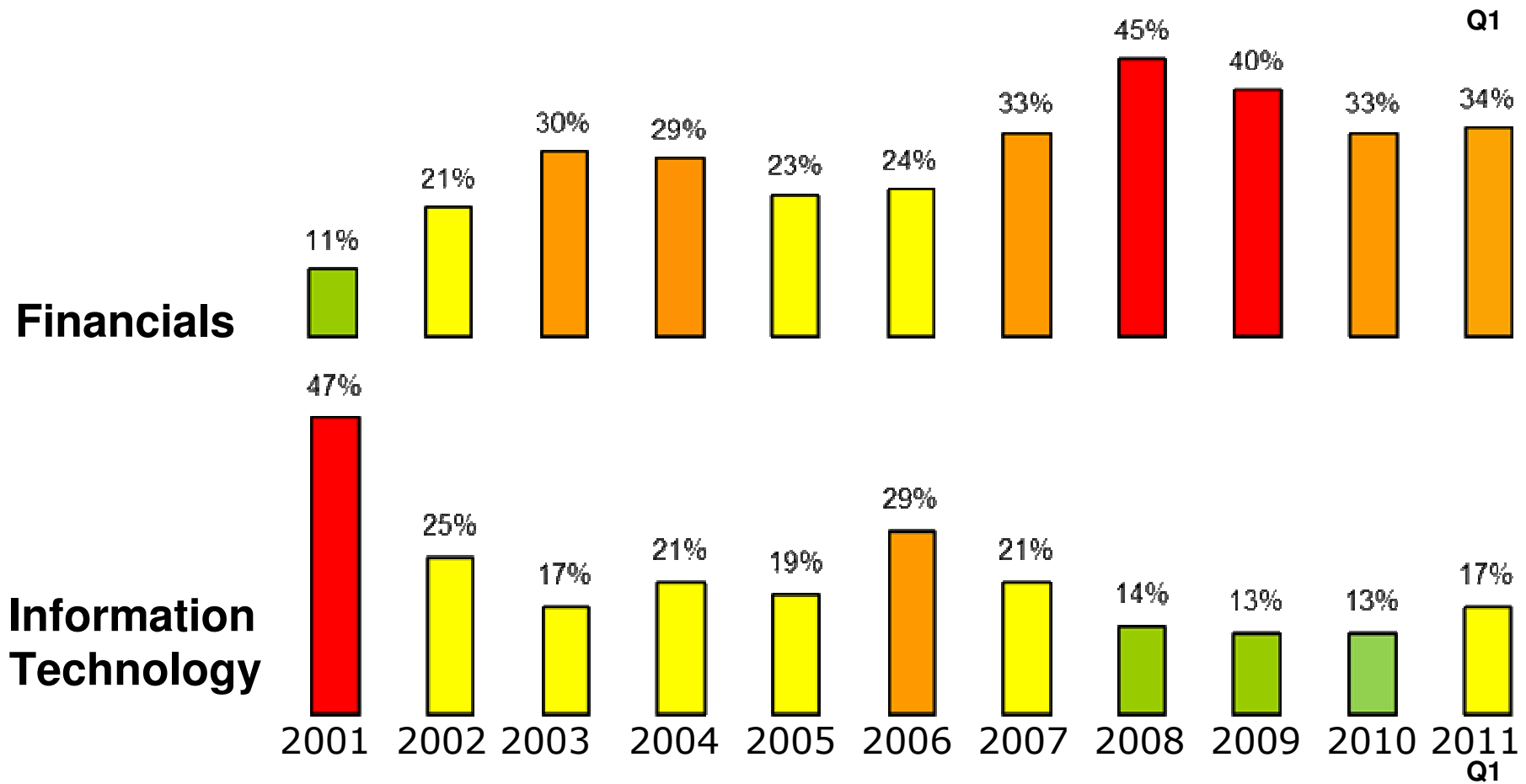


Case Breakdown – Q1 2011

Suits by Sector - Q1 2011

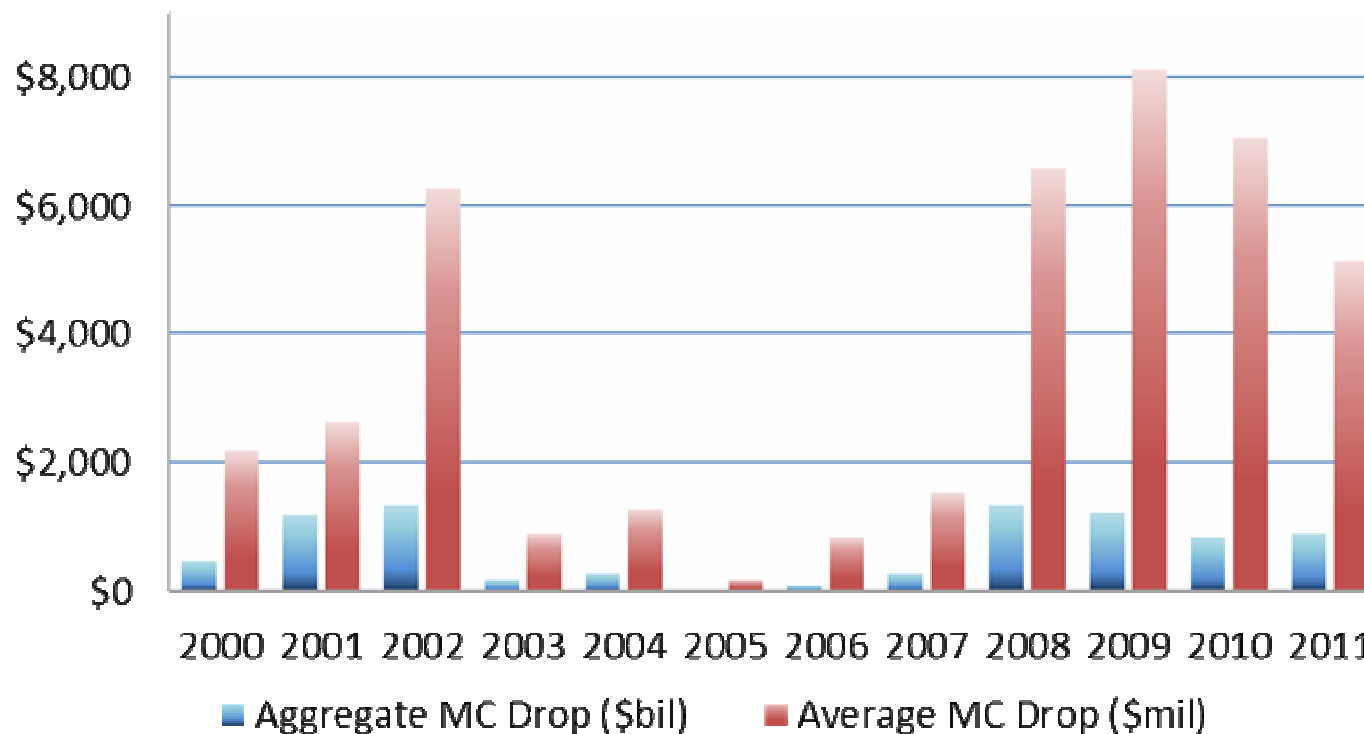


Sector Impact Metric™



Market Cap Impact Metric™

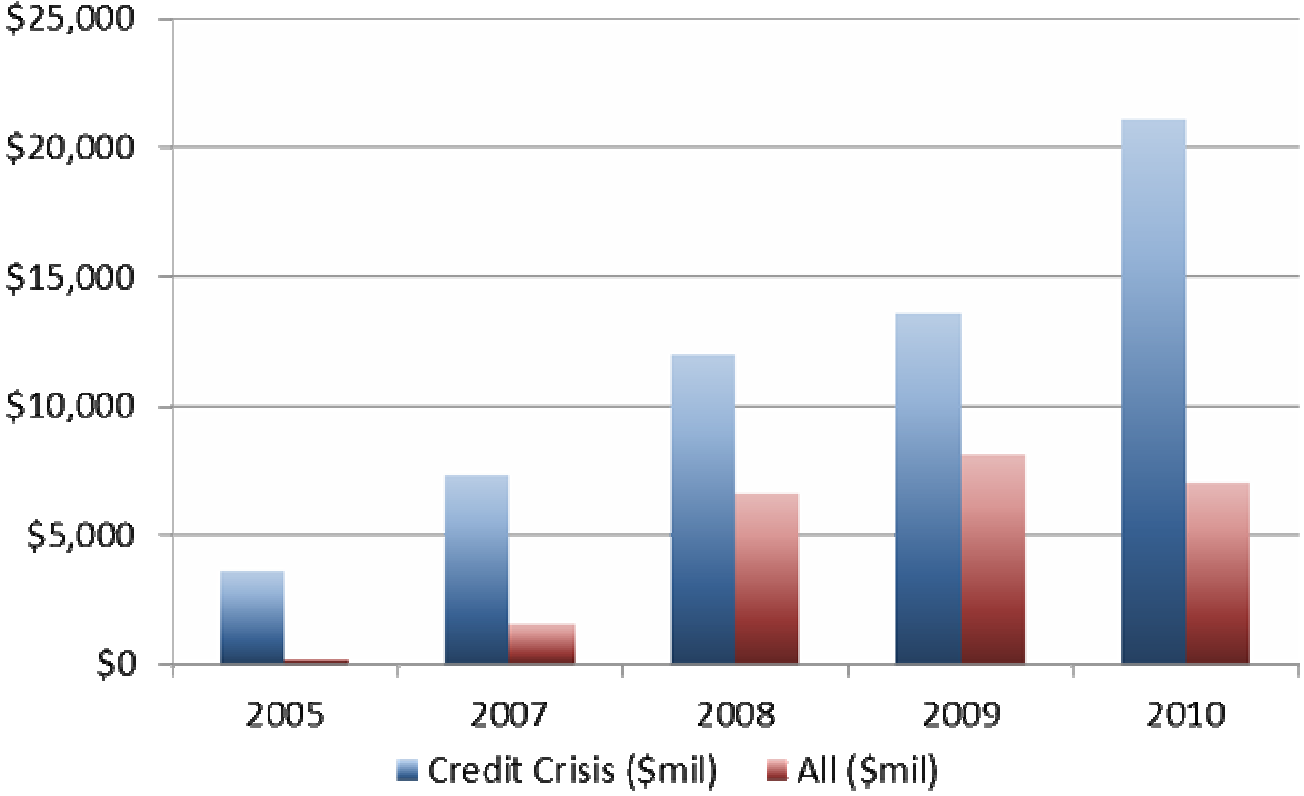
MCI Metric™



2011 Aggregate is Q1 annualized.

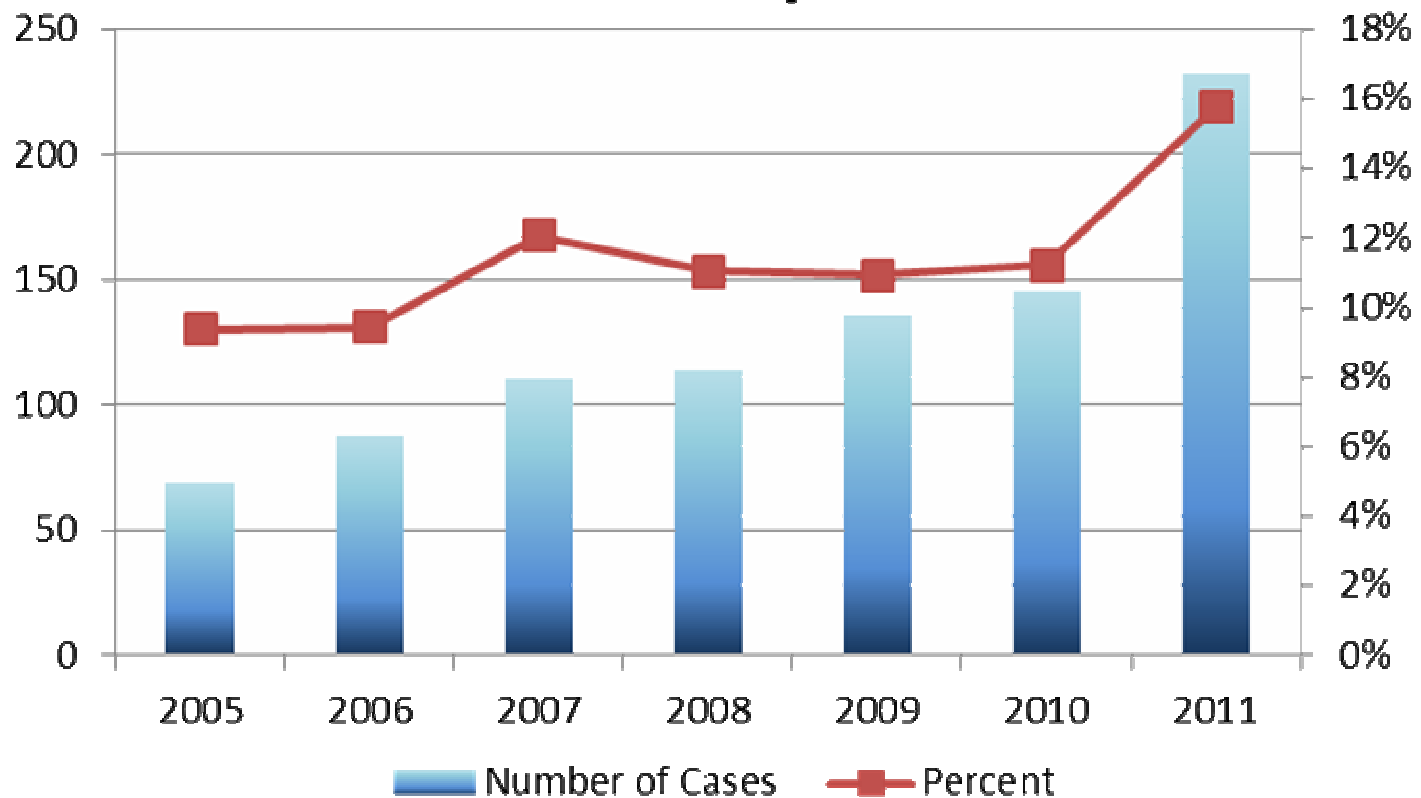
Market Cap Impact Metric™

Credit Crisis-Related Cases v. All, Average Market Cap Drop



Globalization

Non-US Companies



2011 Number of Cases is Q1 annualized.



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Advisen's 2nd Quarter 2011 Review and
Analysis

Friday – July 22, 2011

11 AM eastern

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